

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Frank Butaric et al.

Serial No. : 10/041,124 Art Unit:

Filed : January 8, 2002 Examiner:

For : EXTENSION PROSTHESIS FOR AN ARTERIAL REPAIR

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on

April 29, 2002
(Date of Deposit)

Carl J. Evens
(Name of applicant, assignee, or Registered Representative)


(Signature)

April 29, 2002
(Date of Signature)

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with the first or second After Final Submission, therefore:

- Statement in Accordance with §1.97(e)
(attached); or
- Please charge Deposit Account No. 10-0750/ /
the fee of \$180.00 as set forth in §1.17(p).

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- Statement in Accordance with §1.97(e)
(attached); or
- Please charge Deposit Account No. 10-0750/ /
the fee of \$180.00 as set forth in §1.17(p).

In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

There are no listed references which are not in the English language.

The relevance of those listed references which are not in the English language is as follows:

Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD-0903/CJE. This form is submitted in triplicate.

Respectfully submitted,



Carl J. Evens
Reg. No. 33,874
Attorney for Applicants

Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003
(732) 524-2518
DATED: April 29, 2002

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 29

Application Number **10/041,124**Filing Date **January 8, 2002**First Named Inventor **Frank Butaric et al.**

Group Art Unit

Examiner Name

Attorney Docket Number **CRD-0903**

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document Mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		4,553,545		Maass	11/19/85	
		4,580,568		Gianturco	04/8/86	
		4,655,771		Wallsten	04/7/87	
		4,681,110		Wiktor	07/21/87	
		4,732,152		Wallsten	03/22/88	
		4,739,762		Palmaz	04/26/88	
		4,776,337		Palmaz	10-11-88	
		4,875,480		Imbert	10-24-89	
		4,907,336		Gianturco	03-13-90	
		4,950,227		Savin	08-21-90	
		4,990,151		Wallsten	02-05-91	
		5,026,377		Burton	06-25-91	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	KindCode ⁵				
		EPO	0657147	A2	Robinson, Timothy et al.	10-25-94		
		PCT	8704935	A1	Fischell, Robert E.	08-27-87		
		PCT	9516406	A1	Vorwerk, Dierk	06-22-95		
		PCT	9521592	A1	Goicoechea, George et al.	08-17-95		
		PCT	9807389	A1	Pavcnik Dusan	02-26-98		
		PCT	9911199	A1	Kujawski, Dennis et al.	03-11-99		
		JAP	5524095	A	Matsumoto Hiroshi	02-20-1980		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Application Number	10/041,124
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Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0903

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		Number	Kind Code ² (if known)			
		5,159,920		Cordon	11-03-92	
		5,304,197		Pinchuk	04-19-94	
		5,316,023		Palmaz	05-31-94	
		5,360,443		Barone	11-01-94	
		5,411,507		Heckele	05-02-95	
		5,480,423		Ravenscroft	01-02-96	
		5,484,444		Braunchweiler	01-16-96	
		5,507,769		Marin	04-16-96	
		5,522,880		Barone	06-04-96	
		5,562,724		Vorwerk	10-08-96	
		5,571,170		Palmaz	11-05-96	
		5,571,171		Barone	11-05-96	

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		Office ³	Number ⁴	KindCode ⁵				
		EPO	0947179	A2	Letendre, Robert P. et al.	10-06-1999		
		JAP	60220030	A	Takebayashi Kazuo	11-02-1985		
		JAP	62231657	A	Julio Palmaz	03-29-1988		
		JAP	464367	A	Hirao Isami	02-28-1992		
		JAP	4263852	A	Gianturco Cesare	04-15-1992		
		JAP	5 76603	A	Adachi Hideyuki et al.	03-30-1993		
		JAP	5 269199	A	Hi;rao Isami et al.	10-19-1993		

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		Number	Kind Code ² (if known)			
		5,571,173		Parodi	11-05-96	
		5,578,071		Parodi	11-26-96	
		5,578,072		Barone	11-26-96	
		5,591,229		Parodi	01-07-97	
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		5,702,418		Ravenscroft	12-30-97	
		5,760,006		Shank	05-12-98	
		4,317,451		Cerwin et al.	03-02-82	
		4,784,137		Kulik et al.	11-15-88	
		5,318,535		Miraki	06-07-94	
		5,476,506		Lunn	12-19-95	
		5,507,769		Marin et al.	04-16-96	

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		Office ³	Number ⁴	KindCode ⁵				
		JAP	6 86827	A	Igaki Keiji	06-09-1998		
		JAP	6282730	A	Bandai Tamotsu	10-07-1994		
		JAP	7529	A	Lukic Goran	10-26-1994		
		JAP	7 24072	A	Kanazawa Shinichi	01-27-1995		
		JAP	7100210	A	Ezaki Yuzo et al.	04-18-1995		
		PCT	9853761	A1	William A. Cook	12-03-98		
		PCT	9724081	A1	Love, Charles	07-10-97		

Examiner Signature		Date Considered	
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Sheet 4 of 29

Application Number	10/041,124
Filing Date	January 8, 2002
First Named Inventor	Frank Butaric et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0903

U.S. PATENT DOCUMENTS

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		Kind Code ² Number	(if known)			
		5,562,724		Vorwerk et al.	10-08-96	
		5,639,278		Dereume et al.	06-17-97	
		5,653,745		Trescony et al.	08-05-97	
		5,676,696		Marcade	10-14-97	
		5,683,449		Marcade	11-04-97	
		5,693,084		Chuter	12-02-97	
		5,702,418		Ravenscroft	12-30-97	
		5,824,040		Cox et al.	10-20-98	
		5,824,042		Lombardi et al.	10-20-98	
		5,843,160		Rhodes	12-01-98	
		5,855,598		Pinchuk	01-05-99	
		5,993,481		Marcade et al.	03-21-00	

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		Office ³	Number ⁴	KindCode ⁵				
		EPO	0855170	A2	Du, George, et al.	07-29-98		
		EPO	0686379	B1	Fogarty, Thomas J.	12-13-95		
		RUS	1680055			05-23-88		
		EPO	1000590	A1	Robert P. Letendre	05-17-2000		
		EPO	0928606	A1	Thomas Duerig et al.	07-14-1999		
		EPO	0579523	A1	Anidjar Samy et al.	01-19-1994		
		PCT	9823322	A1	Dimatteo Kristian	06-04-1998		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 29

Application Number

10/041,124

Filing Date

January 8, 2002

First Named Inventor

Frank Butaric et al.

Group Art Unit

Examiner Name

Attorney Docket Number

CRD-0903

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
		6,039,749		Marin et al.	03-21-00	
		6,070,589		Keith et al.	06-06-00	
		5,749,880		Banas	05-12-98	
		Re 31,618		Mano	07-03-84	
		3,585,707		Stevens	06-22-71	
		4,187,390		Gore	02-05-80	
		4,604,762		Robinson	08-12-86	
		4,665,906		Jervis	05-19-87	
		4,705,517		DiPisa, Jr.	11-10-87	
		4,728,328		Hughes	03-01-88	
		4,731,073		Robinson	03-15-88	
		4,733,665		Palmaz	03-29-88	

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		Office ³	Number ⁴	KindCode ⁵				
		PCT	9725000	A1	Timothy A. Chuter	07-17-1997		
		EPO	734698	A2	Dfe Erfinder haben et al.	10-02-1996		
		EPO	800801	A1	Timothy A. Limon,	10-15-1997		
		EPO	830853	A1	Jabob Richter	03-25-1998		
		PCT	9626689	A1	Brian Brown	09-06-1996		
		FRA	2743293	A1	Jean Marc Denis	07-11-1997		
		PCT	9733532	A2	Kirsten Freislenger et al.	09-18-1997		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/041,124
Filing Date	January 8, 2002
First Named Inventor	Frank Butaric et al.
Group Art Unit	
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Attorney Docket Number	CRD-0903

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		4,769,029		Patel	09-06-88	
		4,787,899		Lazarus	11-29-88	
		4,822,341		Colone	04-18-89	
		4,850,999		Planck	07-25-89	
		4,925,445		Sakamoto	05-15-90	
		4,955,899		Della Corna	09-11-90	
		5,045,072		Castillo	09-03-91	
		5,064,435		Porter	11-12-91	
		5,078,726		Kreamer	01-07-92	
		5,084,065		Weldon	01-28-92	
		5,100,422		Berguer	0Error! Bookmark not defined.	
		5,104,400		Berguer	04-14-92	

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		Office ³	Number ⁴	KindCode ⁵				
		EPO	880948	A1	Paul Thompson et al.	12-02-1998		
		EPO	783873	A2	George Goicoechea	07-16-1997		
		EPO	832616	A1	Daniel L. Cox	04-01-1998		
		EPO	937442	A2	Hikmat Hojeibane	08-25-1999		
		PCT	9836709	A1	Mark Wilson Ian Webster et al.	08-27-1998		
		PCT	9908744	A1	Shaknovich Alexander	02-25-1999		
		FRA	2733682	A1	Dibie Alain	11-08-1996		

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Sheet 7 of 29

Application Number	10/041,124
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		Number	Kind Code ² (if known)			
		5,123,917		Lee	06-23-92	
		5,151,105		Kwan-Gett	09-29-92	
		5,152,782		Kowligi	10-06-92	
		5,156,620		Pigott	10-20-92	
		5,163,951		Pinchuk	11-17-92	
		5,207,695		Trout, III	05-04-93	
		5,211,658		Clouse	05-18-93	
		5,219,355		Parodi	06-15-93	
		5,236,447		Kubo	08-17-93	
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		5,254,107		Soltesz	10/19/93	
		5,258,027		Berghaus	11-02-93	

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		Office ³	Number ⁴	KindCode ⁵				
		FRA	2740346	A1	Millard Luc	04-30-1997		
		EPO	0 540 290	A3	Lilip Lau	05-05-1993		
		UK	1 205 743		Colin Charles Didcott	09-16-1970		
		DE	D3205942	A1	Gleich Anmeider	09-08-1983		
		FRA	0 566 807	A1	Harold Edgar Yarrow			
		GB	0 662 307	A2	Frank B. Dehn	09-01-1948		
		PCT	96/34580	A1	J. J. Martin et al.	11-07-1996		

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		Number	Kind Code ² (if known)			
		5,282,860		Matsuno	02-01-94	
		5,306,294		Winston	04-26-94	
		5,321,109		Bosse	06-14-94	
		5,330,490		Wilk	07-1994	
		5,330,500		Song	07-19-94	
		5,330,528		Lazim	07-19-94	
		5,334,201		Cowan	08-02-94	
		5,366,473		Winston	11-22-94	
		5,380,328		Morgan	01-10-95	
		5,383,927		DeGoicoechea	01-24-95	
		5,453,235		Calcote	09-26-95	
		5,466,509		Kowligi	11-14-95	

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		PCT	98/19628	A1	Gil M. Vardi	05-14-1998		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 9 of 29

Application Number	10/041,124
Filing Date	January 8, 2002
First Named Inventor	Frank Butaric et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0903

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		Number	Kind Code ² (if known)			
		5,468,138		Bosse	11-21-95	
		5,512,229		Bosse	04-30-96	
		5,522,882		Gaterud	06-04-96	
		5,549,663		Cottone, Jr.	08-27-96	
		5,609,624		Kalis	03-11-97	
		5,628,786		Banas	05-13-97	
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		5,641,443		Ca;cpte	06-24-97	
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Sheet 10 of 29

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		Number	Kind Code ² (if known)			
		5,681,345		Euteneuer	10-28-97	
		5,683,448		Cragg	11-04-97	
		5,700,285		Myers	12-23-97	
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		5,735,892		Myers	04-07-98	
		5,749,880		Banas	05-12-98	
		5,788,626		Thompson	08-04-98	
		5,810,870		Myers	09-22-98	
		5,824,043		Cottone, Jr.	10-20-98	
		5,824,046		Smith	10-20-98	
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Application Number	10/041,124
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Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0903

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		Number	Kind Code ² (if known)			
		5,843,120		Israel	12-01-98	
		5,858,556		Eckert	01-12-99	
		5,916,264		Von Oepen	06-29-99	
		5,935,667		Calcote	08-10-99	
		5,980,565		Jayaraman	11-09-99	
		5,360,443		Barone	11-01-94	
		5,522,880		Barone	06-04-96	
		5,617,878				
		5,824,055				
		6,019,778				
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Application Number **10/041,124**Filing Date **January 8, 2002**First Named Inventor **Frank Butaric et al.**

Group Art Unit

Examiner Name

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		6,017,363		Hojeibane	01-25-00	
		5,669,924		Shaknovich	09-23-97	
		5,824,041		Lenker , et al.	10-20-98	
		5,824,039		Piplani , et al.	10-20-98	
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		5,800,516		Fine , et al.	09-01-98	
		5,800,456		Maeda , et al.	09-01-98	
		5,797,953		Tekulve	08-25-98	

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Sheet 13 of 29

Application Number	10/041,124
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		5,782,909		Quiachon , et al.	07-21-98	
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		5,776,180		Goicoechea , et al.	07-07-98	
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		5,766,201		Ravenscroft , et al.	06-16-98	
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		5,755,777		Chuter	05-26-98	
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Application Number	10/041,124
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		5,752,966		Chang	05-19-98	
		5,749,921		Lenker , et al.	05-12-98	
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Application Number

10/041,124

Filing Date

January 8, 2002

First Named Inventor

Frank Butaric et al.

Group Art Unit

Examiner Name

Attorney Docket Number

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		Number	Kind Code ² (if known)			
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		5,405,322		Lennox , et al.	04-11-95	
		5,397,345		Lazarus	03-14-95	
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		5,104,399		Lazarus	04-14-92	
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		5,019,085		Hillstead	05-28-91	
		4,913,141		Hillstead	04-03-90	
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		4,562,596		Kornberg	01-07-86	
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Group Art Unit

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		6,123,722		Fogarty , et al.	09-26-00	
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		6,093,199		Brown , et al.	07-25-2000	
		6,090,133		Richter , et al.	07-18-2000	
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		6,090,127		Globerman	07-18-2000	
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		6,086,577		Ken , et al.	07-11-2000	
		6,078,832		Lenker , et al.	06-20-2000	
		6,077,273		Euteneuer , et al.	06-20-2000	
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Application Number

10/041,124

Filing Date

January 8, 2002

First Named Inventor

Frank Butaric et al.

Group Art Unit

Examiner Name

Attorney Docket Number

CRD-0903

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		6,059,824		Taheri	05-09-2000	
		6,059,823		Holman , et al.	05-09-2000	
		6,059,821		Anidjar , et al.	05-09-2000	
		6,056,775		Borghi , et al.	05-02-2000	
		6,051,020		Goicoechea , et al.	04-18-2000	
		6,048,356		Ravenscroft , et al.	04-11-2000	
		6,039,758		Quiachon , et al.	03-21-2000	
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		6,030,415		Chuter	02-29-2000	

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First Named Inventor	Frank Butaric et al.
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Attorney Docket Number	CRD-0903

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		6,027,529		Roychowdhury, et al.	02-22-2000	
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		5,683,451		Lenker, et al.	11-04-1997	
		5,683,450		Goicoechea, et al.	11-04-1997	
		5,681,346		Orth, et al.	10-28-1997	
		5,676,697		McDonald	10-14-1997	
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		Number	Kind Code ² (if known)			
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Filing Date	January 8, 2002
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Sheet 29 of 29

Application Number	10/041,124
Filing Date	January 8, 2002
First Named Inventor	Frank Butaric et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0903

U.S. PATENT DOCUMENTS

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